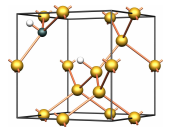


# EVIDENCE FOR H<sub>2</sub>\* TRAPPED BY CARBON IMPURITIES IN SILICON



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## Abstract

Local mode spectroscopy and *ab initio* modelling are used to investigate two trigonal defects found in carbon rich Si into which H had been in-diffused. Isotopic shifts with D and <sup>13</sup>C are reported along with the effect of uniaxial stress. *Ab-initio* modelling studies suggest that the two defects are two forms of the CH<sub>2</sub>\* complex where one of the two hydrogen atoms lies at an anti-bonding site attached to C or Si respectively. The two structures are nearly degenerate and possess vibrational modes in good agreement with those observed.

## Background

Several IR absorption lines in the ranges of 790–820 cm<sup>-1</sup> and 1900–2250 were observed in FZ-Si crystals which were grown in a hydrogen atmosphere [1, 2]. Positions of these lines, except a few ones, are different from those found in proton-implanted Si samples, but coincide with those found in FZ- and Cz-Si crystals, (the lines were especially pronounced in C-rich samples) which were subjected to high-temperature treatments in H<sub>2</sub> gas ambient [3]. Carbon seems to play an important role in formation of these new defects [4]. A combined stress and IR absorption study of carbon-rich FZ-Si crystals, which were subjected to high-temperature treatments in H<sub>2</sub> or/and D<sub>2</sub> ambient has been carried out. Observed modes are compared against the results of first-principle simulation.

## Experimental Details

- Samples FZ-Si:P (B) crystals enriched with carbon; [O] ≤ 2 × 10<sup>16</sup> cm<sup>-3</sup>, [C] = (1.5–3.0) × 10<sup>17</sup> cm<sup>-3</sup>
- Hydrogenation of samples in sealed quartz ampoules with H<sub>2</sub> or/and D<sub>2</sub> gas; T = 1200–1350 °C; P = 1.0 or 1.5 atm.; Fast cooling by plunging the ampoules into water
- FT-IR: Bruker IFS 113v and Bomem DA3.8 spectrometers, T<sub>meas</sub> = 10 K; Resolution : 0.1 – 0.5 cm<sup>-1</sup>
- Uniaxial stress measurements on samples 10 × 3 × 2 mm<sup>3</sup>

## Theoretical Details

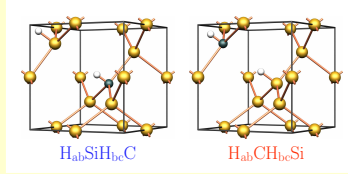
Supercell, spin-polarised-LDF calculations using the AIMPRO code [5, 6]

- Si<sub>64</sub> cubic supercells
- Cartesian Gaussian basis
- 200 Ry planewave intermediate fit for Hartree and exchange-correlation energies
- BHS pseudopotentials [7]
- All atoms allowed to relax by conjugate gradient
- Second derivatives of C & H atoms and neighbours found
- Stress/energy *B*-tensor evaluated directly

## Summary of Experimental Results

1. Lines at 792.0, 1921.8, and 2752.3 cm<sup>-3</sup> in hydrogenated Si:C crystals originate from the same centre.
2. Lines at 665.3 and 2210.4 cm<sup>-3</sup> in hydrogenated Si:C crystals originate from the same centre.
3. Downward shift in hydrogen characteristic frequencies (2210.4 and 1921.8 cm<sup>-3</sup>) with a factor of about √2 after substitution of hydrogen by deuterium for both defects.
4. 2752.3 cm<sup>-3</sup> mode typical for C–H bonds.
5. Small splitting of the lines in samples co-doped with hydrogen and deuterium indicates that both sets of lines originate from defects containing a pair of weakly interacting hydrogen atoms.
6. Uniaxial stress measurements showed that the 2210.4 cm<sup>-1</sup> line represents an A<sub>1</sub> mode of a trigonal centre.
7. Uniaxial stress measurements showed that the 792.0 cm<sup>-1</sup> line represents an E mode of a trigonal centre.

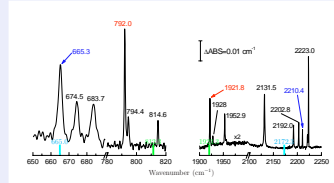
## Proposed Defects Based on H<sub>2</sub>\* Trapped at Carbon



The calculated energies for H<sub>ab</sub>SiH<sub>bc</sub>C and H<sub>ab</sub>CH<sub>bc</sub>Si are degenerate. For these trigonal defects, the stress/energy *B*-tensor is  $\frac{1}{2}B_{11} = -B_{22} = -B_{33}$  with *B*<sub>11</sub> being 7.69 (H<sub>ab</sub>CH<sub>bc</sub>Si) and 11.15 (H<sub>ab</sub>SiH<sub>bc</sub>C) eV per unit strain.

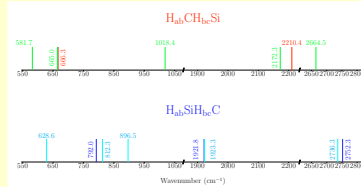
## Observed Spectra

Fragments of infrared absorption spectrum of a carbon-rich ([C] = 2 × 10<sup>17</sup> cm<sup>-3</sup>) FZ-Si sample, which was treated in H<sub>2</sub> gas at 1350 °C for 30 min. Modes that share annealing characteristics are colour coded. The calculated modes for H<sub>ab</sub>SiH<sub>bc</sub>C and H<sub>ab</sub>CH<sub>bc</sub>Si are also shown.



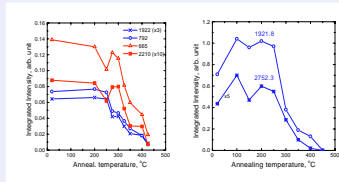
## Calculated and Measured Vibrational modes

Only the <sup>12</sup>CH<sub>2</sub>\* isotope is shown, other isotopic modes also match well (see associated paper).



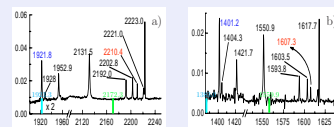
## Annealing

The isochronal annealing temperature dependence of the integrated absorption intensity of the bands at 792.0, 1921.8, and 2752.3 cm<sup>-1</sup> and the modes at 665.3 and 2210.4 in a C-rich FZ-Si sample which was treated in H<sub>2</sub> gas ambient at 1350 °C for 30 min. IR absorption measurements were carried out at 10 K with a resolution of 0.5 cm<sup>-1</sup>.



## Isotopic Substitution – H/D

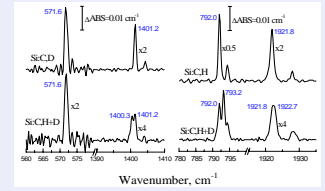
Fragments of infrared absorption spectrum of a carbon-rich ([C] = 2.7 × 10<sup>17</sup> cm<sup>-3</sup>) FZ-Si sample, which was treated in H<sub>2</sub> (a) or D<sub>2</sub> (b) gas at 1350 °C for 30 min. The calculated modes for H<sub>ab</sub>SiH<sub>bc</sub>C and H<sub>ab</sub>CH<sub>bc</sub>Si are also shown.



## Conclusions

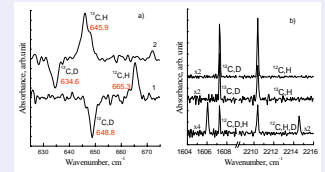
1. The lines at 792.0, 1921.8 and 2752.3 cm<sup>-1</sup> are assigned to Si–H bend and stretch modes, and a C–H stretch mode of a CH<sub>2</sub>\* (H<sub>ab</sub>SiH<sub>bc</sub>C) complex with C<sub>3v</sub> symmetry.
2. The lines at 665.3 and 2210.4 cm<sup>-1</sup> are assigned to a C–H bend mode and an Si–H stretch mode of a CH<sub>2</sub>\* (H<sub>ab</sub>CH<sub>bc</sub>Si) complex with C<sub>3v</sub> symmetry.
3. The calculated modes are in good agreement with those observed for both defects.

## Isotopic Substitution – mixed H/D



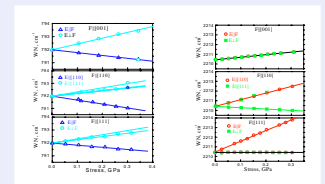
## Isotopic Substitution – <sup>12</sup>C/<sup>13</sup>C

Isotopic shifts with carbon, showing the modes characteristic of a) carbon-related stretch modes, and b) silicon-hydrogen related modes.



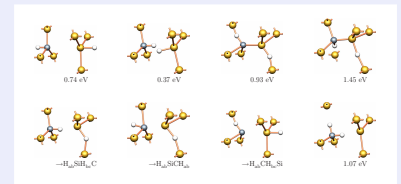
## Stress

The effect of uniaxial stress on the 792.0 and 2210.4 cm<sup>-1</sup> mode. **F** is the force and **E** is the electric-field vector of the incident light. The splitting pattern is found to be consistent with the assignment of *E* and A<sub>1</sub> character.



## Other Possibilities

A number of other structures consisting of C<sub>s</sub> + 2 × H were investigated, but all other forms were found to be at least ≥ 0.4 eV higher in energy.



## References

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